



05/08/01

05-09-0

#3a  
3daAnticipated Classification  
of this Application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_Prior Application:  
Examiner S. Kaushal  
Group Art Unit 1633Attorney 1-30-00  
Docket  
No. 0575/55424-Z/  
JPW/JMLHONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

May 8, 2001

BOX: PATENT APPLICATION

S I R:

This is a request for filing a \_\_\_\_\_ CONTINUATION

☒ DIVISIONAL \_\_\_\_\_ CONTINUATION-IN-PART application under☒ 37 C.F.R. §1.53(b) \_\_\_\_\_ 37 C.F.R. §1.53(d), of pending prior applicationSerial No. 09/062,365 filed on April 17, 1998 ofAnne Marie Schmidt and David M. Stern for  
Inventor(s)A METHOD FOR INHIBITING TUMOR INVASION OR SPREADING IN A SUBJECT  
Title of Invention☒ Enclosed is a copy of the prior application, as originally  
filed and an affidavit or declaration verifying it as a  
true copy.2. ☒ A verified statement to establish small entity status under  
37 C.F.R. §1.9 and 1.27☒ is enclosed.☒ was filed in the prior application and such status  
is still proper and desired (37 C.F.R. §1.28(a)).3. ☒ The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

|  | NUMBER<br>FILED |   | NUMBER<br>EXTRA* |   | RATE            |                 | FEE             |                 |
|--|-----------------|---|------------------|---|-----------------|-----------------|-----------------|-----------------|
|  |                 |   |                  |   | SMALL<br>ENTITY | OTHER<br>ENTITY | SMALL<br>ENTITY | OTHER<br>ENTITY |
| Total Claims   | 22-20           | = | 2                | X | \$9.00          | \$18.00         | = \$18.00       | \$              |
| Independent<br>Claims  | 1-3             | = | 0                | X | \$40.00         | \$80.00         | = \$0.00        | \$              |
| Multiple Dependent<br>Claims Presented: _____ Yes <input checked="" type="checkbox"/> No |                 |   |                  |   | \$135.00        | \$270.00        | = \$0           | \$              |
| BASIC FEE  |                 |   |                  |   |                 |                 | \$355.00        | \$              |
| TOTAL FEE  |                 |   |                  |   |                 |                 | \$373.00        | \$              |

\*If the difference in Col. 1 is  
less than zero, enter "0" in  
Col. 2.j1040 U.S. PTO  
09/851071  
05/08/01

Applicants: Anne Ma Schmidt and David M. Stern  
U.S. Serial No.: Not Yet Known (Divisional of 09/062,365)  
Filed: Herewith

Cont.Div.

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4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. §1.16.
- X Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 355.00 is enclosed.
7. X Cancel claims 1-16 and 39.
8. X Amend the specification by inserting before the first line <sup>now US Pat 6,465,422</sup> the sentence: -This is a continuation X division of application Serial No. 09/062,365, filed April 17, 1998--
9. X 6 Sheet(s) of        informal X formal drawing(s) is/are enclosed.
10.        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11.        Priority of application No.        filed on        in        (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No.       , filed       .
12. X The prior application is assigned of record to The Trustees Of Columbia University In The City Of New York.
13. X A preliminary amendment is enclosed.
14. X The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Christopher C. Dunham (Reg. No. 22,031); Norman H. Zivin (Reg. No. 25,385); Jay H. Maioli (Reg. No. 27,213); William E. Pelton (Reg. No. 25,702); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Wendy E. Miller (Reg. No. 35,615); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Robert T. Maldonado (Reg. No. 38,232); Mary Anne P. Tanner (Reg. No. 40,197); Paul Teng (40,837); Gerard M. Wissing (Reg. No. 36,309); George M. MacDonald (Reg. No. 39,284); Richard F. Jaworski (Reg. No. 33,515); Elizabeth M. Wieckowski (Reg. No. P-42,226); Victor Devito (Reg. No. 36,325); Pedr C. Fernandez (Reg. No. P-41,741); William D. DeVaul (Reg. No. P-42,483); Todd A. Holmbo (Reg. No. P-42,665); and Steven J. Lieb (Reg. No. P-41,943)

Applicants: Anne Marie [redacted] and David M. Stern  
U.S. Serial No.: Not Yet Known (divisional of 09/062,365)  
Filed: Herewith

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- (a)   X   The power appears in the original papers in the prior application.
- (b)        Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c)   X   Address all future communications to:  
(May only be completed by applicant, or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

15.   X   Also enclosed Express Mail Certificate of Mailing No.

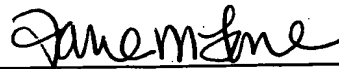
EK 873 626 804 US

16.   X   I hereby verify that the attached papers are a true copy of prior application Serial No. 09/062,365 as originally filed on April 17, 1998.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

May 8, 2001

Date



Signature

Jane M. Love Reg. No. 42,812

       INVENTOR(S)

       ASSIGNEE OF COMPLETE INTEREST

  X   ATTORNEY OR AGENT OF RECORD

       FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

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J1003 U.S. PTO

05.09.01

Dkt. 55424-2/JPW/JML

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ann Marie Schmidt and David Stern  
Serial No. : Not Yet Known, Divisional of U.S. Serial No.  
09/062,365)  
Filed : Herewith  
For : A METHOD FOR INHIBITING TUMOR INVASION OR  
SPREADING IN A SUBJECT

1185 Avenue of the Americas  
New York, New York 10036  
May 8, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Box: Patent Application

SIR:

**EXPRESS MAIL CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EM 873 626 804 US

Date of Deposit: May 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Patent Application Washington, D.C. 20231.

  
Printed Name: Jamel Rhabs

Respectfully submitted,



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